PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ction Act of 1995, no persons collection of information unless it displays a valid OMB control number are required to respond to a CA TRAD **Application Number** 10/734.017 **TRANSMITTAL** Filing Date December 10, 2003 **FORM** First Named Inventor Randall J. Huebner Art Unit 3732 (to be used for all correspondence after initial filing) Examiner Name Attorney Docket Number 22+ **ACM 347** Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to TC Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): 1. PTO-1449 Forms (19 pages) Request for Refund **Express Abandonment Request** 2. Foreign references (10) 3. "Other" documents (27) CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm James R. Abney, Esq. Kolisch Hartwell, P.C. Individual name Signature ame Date Autgust 17, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Renee Knight

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Date

August 17, 2004



In re Application of:

Date: August 17, 2004

RANDALL J. HUEBNER and STEVEN P. HORST

Serial No.

10/734,017

Group Art Unit 3732

Filed

December 10, 2003

For

EXTERNAL FIXATOR

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.56, 1.97, and 1.98</u>

Applicants are submitting this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98 to disclose to the U.S. Patent and Trademark Office the patents, publications, applications, and/or other references listed on the enclosed, completed PTO-1449 form(s). The filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or as an admission that the listed references are prior art for this application. Applicants respectfully request that the listed references be expressly considered during prosecution of the application, and that the references be made of record therein and appear among the "references cited" on any patents issuing therefrom.

CONTENT OF DISCLOSURE

This Information Disclosure Statement includes (1) 19 pages of PTO-1449 forms, and (2) a legible copy of each foreign and non-patent reference, if any, listed on the form(s).

Page 1 – INFORMATION DISCLOSURE STATEMENT . . . Serial No. 10/734,017

However, because this application was filed on or after July 1, 2003, no copies of U.S. patents or published U.S. patent applications are included.

FOREIGN-LANGUAGE REFERENCES

A concise explanation of the relevance of each listed reference not in the English language follows:

German Patent Document No. DT 24 37 752: This reference appears to disclose an external fixator, as shown in the figures.

TIMING OF DISCLOSURE / FEE INFORMATION

This Information Disclosure Statement is being filed, to the best of the undersigned's knowledge, either (1) before the mailing of a first Office action on the merits, or (2) before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Therefore, in accordance with 37 C.F.R. § 1.97(b), no fee or statement under 37 C.F.R. § 1.97(e) is required.

Please contact the undersigned with any questions or comments regarding this Information Disclosure Statement.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on August 17, 2004.

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Respectfully submitted,

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Facsimile: (503) 295-6679 Attorney for Assignee AUG 1 9 2004 P. 23

SHEET 1 OF 19 FORM PTO-144 DOCKET NUMBER APPLICATION NUMBER ACM 347 10/734,017 INFORMATION DISCLOSURE **APPLICANTS** CITATION IN AN APPLICATION Randall J. Huebner and Steven P. Horst FILING DATE GROUP ART UNIT December 10, 2003 3732 U.S. PATENT DOCUMENTS SUB CLASS **EXAMINER** DOCUMENT DATE NAME CLASS FIL DATE INITIAL NUMBER IF APPROP. 583,455 6/1/1897 Bush 1,201,864 10/17/16 Overmeyer 1,789,060 1/13/31 Weisenbach 1,869,726 8/2/32 Youngren 1,869,972 8/2/32 Kline 1,880,945 10/4/32 Ettinger 1,997,466 4/9/35 Longfellow 2,024,325 12/17/35 Allen 2,091,643 8/31/37 Longfellow 2,214,490 9/10/40 **Thomas** FOREIGN PATENT DOCUMENTS DOCUMENT DATE COUNTRY CLASS TRANSLATION SUB NUMBER CLASS YES NO 2 553 996 3/18/85 France XX2 701 838 9/2/94 France XXOTHER DOCUMENTS The Closed Treatment of Common Fractures, Charnley, pp. 227-229, 1957. External Fixation - The Current State of the Art, Brooker et al., p. 308, 1979. Current Concepts of External Fixation of Fractures, Uhthoff, pp. 96 and 143, 1982. Limb Lengthening Surgical Technique Using the Hoffmann™ External Fixation Device (Howmedica Surgical Technique), Howmedica, Inc., pp. 1-27, 1983. **EXAMINER** DATE CONSIDERED

FORM PTO-1449

EXAMINER

ACM 347 INFORMATION DISCLOSURE CITATION IN AN APPLICATION

SHEET 2 OF 19 DOCKET NUMBER APPLICATION NUMBER

10/734,017

APPLICANTS

Randall J. Huebner and Steven P. Horst

FILING DATE December 10, 2003 **GROUP ART UNIT**

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	2,245,909	6/17/41	Enfiajian				, ,
	2,250,417	7/22/41	Ettinger		<u> </u>		
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	_	O'	THER DOCUMENTS			·•	
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	Clyburn	Dynamic Co	lles Fixator brochure,	Zimmer, Inc.	, pp. 1-4,	1985.	
			hening of Extremities				1987.
		adius Fractu	res, Agee, External Fix		-		·

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	36 14 305	11/12/87	G	ermany				XX		
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	2 047 540	12/3/80	Uı	nited Kingdom			XX			
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				, Raychem Corpor		. 1-6, April 1	1999.	<u>-</u>		
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				FILING DATE December 10, 2	2003	GROUP ART	UNIT			
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	3,701,349	10/31/72	La	arson						
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·	3,862,631	1/28/75	Αι	ustin				,		
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	3,901,225	8/26/75	Sc	conce						
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	3,961,854	6/8/76	Jac	quet							
	3,975,032	8/17/76	Ве	nt et al.							
	4,003,096	1/18/77	Fre	еу							
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	4,185,624	1/29/80	Ge	ntile							
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	History of External Fixation, Complications of External Skeletal Fixation, pp. 3-11, date unknown.										
	Fracture	es in the Pecto	oral l	<i>Limb</i> , p. 143, date	unknown	•					
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	4,187,841	2/12/80	Kn	utson							
	4,220,146	9/2/80	Clo	outier							
	4,244,360 1/13/81 D			hogne							
<u> </u>	4,258,708	Ge	ntile								
	4,266,298	Gr	aziano								
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				cture Treatment, p							
<u> </u>	The Use of Mini Fixation in the Hand, Asche, Clinical Techniques in Upper Extremity, pp. 505-520, date unknown.										
	Richard (unknown.	Colles Fractu	re F	rame description	page, Smi	ith & Nephe	w, p. K-	4, date			
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	4,338,927	7/13/82	Vo	olkov et al.			·				
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		ngthening Se te unknown.	t and	d Small Lengthenii	ng Set bro	ochure pa	ges,	Synthe	s, pp.		
		Tibial Lengthening Surgical Technique Stage II description page, p. 9, origin, author, and date unknown.									
	Fig. 7.1: Positione	Fig. 7.1: Large Lengthener Positioned in Femur and Fig. 7.2: Small Lengthener Positioned in Tibia, p. 7-2, origin, author, and date unknown.									
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	4,548,199	10/22/85	Ag	gee					
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	4,628,945	12/16/86	Jol	hnson, Jr.				
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	4,895,141	1/23/90	Ko	eneman et al.					
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				FILING DATE December 10, 2	GROUP ART UNIT				
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	5,024,618	6/18/91	Te	pic	·				
	5,026,372	6/25/91	Stu	ırtzkopf et al.					
	·	FOREIG	N P.	ATENT DOCUM	ENTS				
	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB CLASS	TRAN YES	SLATION NO	
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FORM PTO-14	FORM PTO-1449					ER	APPLICATIO	N NUMB	ER		
	FORMATION				ACM 347 APPLICANTS		10/734,01	7			
CITA	TION IN AN	APPL	ICATION		Randall J. Huebner and Steven P. Horst						
					FILING DATE December 10, 2003 GROUP ART UNIT						
	_		U.S.	PAT	ENT DOCUME	NTS					
EXAMINER INITIAL	DOCUMEN NUMBER		DATE		NAME	CLASS	SUB CLASS		DATE PPROP.		
	5,033,457		7/23/91	Во	nutti						
	5,042,508		8/27/91	Ri	chard				·		
	5,098,432		3/24/92	W	agenknecht						
	5,100,403 3/31/92 Ho				tchkiss et al.						
	5,102,411 4/7/92 H				tchkiss et al.						
	5,108,393 4/28/92				Ruffa						
	5,108,394 4/28/92			Ku	rokawa et al.						
	5,108,396	5,108,396 4/28/92 L		La	ckey et al.						
	5,108,404		4/28/92	Sc	holten et al.				-		
	5,122,140		6/16/92	As	che et al.						
			FOREIC	SN P.	ATENT DOCUM	MENTS					
	DOCUMEN NUMBER		DATE		COUNTRY	CLASS	SUB CLASS	TRANS	SLATION NO		
			O'	THE	R DOCUMENTS	<u> </u>		1			
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EXAMINER					DATE CONSIDE	ERED					

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FORM PTO-1	449		DOCKET NUMBER APPLICATION NUMBER 10/734,017								
	FORMATION D ATION IN AN A			APPLICANTS Randall J. Huebner and Steven P. Horst							
			FILING DATE December 10,	GROUP ART	OUP ART UNIT						
		U.S. I	PAT	ENT DOCUMEN	VTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CLASS	S SUB CLASS		DATE PPROP.			
	5,125,400	6/30/92	Jol	nnson, Jr.							
	5,133,342	7/28/92	Se	aton							
	5,152,280 10/6/92 Da			nieli							
	5,160,335 11/3/92 W			agenknecht							
	5,167,661	12/1/92	W	agenknecht							
	5,171,310	12/15/92	isena								
	5,203,783 4/20/93 Ha			rle							
	5,207,676	5/4/93	Ca	nadell et al.							
	5,209,750	5/11/93	ef								
	5,254,078	10/19/93	Ca	rter et al.				_			
		FOREIG	N P.	ATENT DOCUM	IENTS						
DOCUMENT DATE NUMBER				COUNTRY	CLASS	SUB CLASS	TRANS YES	SLATION NO			
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EXAMINER	*			DATE CONSIDE	RED						

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FORM PTO-1			DOCKET NUMBER ACM 347	APPLICATION NUMBER 10/734,017					
	FORMATION DISC ATION IN AN APPL		APPLICANTS Randall J. Huebner and Steven P. Horst						
			FILING DATE December 10, 2	GROUP A	GROUP ART UNIT				
		U.S. I	PAT	ENT DOCUMENT	rs	<u> </u>		<u>-</u>	
EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CLASS	SUB CLASS	S	FIL. DATE IF APPROP.	
	5,267,951	12/7/93	Ish	ii					
	5,275,599	1/4/94 Zb		ikowski et al.					
	5,281,224	1/25/94	Fa	ccioli et al.					
	5,284,468			lson					
	5,286,249			ibodaux					
	5,288,286	2/22/94	Da	vis et al.				•	
	5,292,322	3/8/94	Fac	ccioli et al.					
	5,300,072	4/5/94	Ag	hion					
	5,304,177	4/19/94	Pe	nnig					
	5,314,426	5/24/94	Po	hl et al.					
		FOREIG	N P.	ATENT DOCUME	ENTS	APPLICATION OF THE PROPERTY OF			
	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB CLAS		RANSLATION	10 1
		ОТ	HE	R DOCUMENTS					
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FORM PTO-1449				DOCKET NUMBER ACM 347	APPLICATION NUMBER 10/734,017				
	FORMATION DISC ATION IN AN APP		APPLICANTS Randall J. Huebner and Steven P. Horst						
			FILING DATE December 10, 2	GROUP ART UNIT					
		U.S.	PAT	ENT DOCUMENT	ΓS				
EXAMINER INITIAL				NAME	CLASS	SUB CLASS		DATE PPROP.	
	5,320,622	6/14/94	Fa	ccioli et al.					
	5,320,623	6/14/94	Pe	nnig					
	5,330,474	7/19/94	Li	n		_			
	5,334,202	8/2/94	Ca	ırter				.,	
	5,342,288	8/30/94	Le	e et al.					
	5,342,360	8/30/94	Fa	ccioli et al.					
	5,352,228	10/4/94	Κι	ımmer et al.					
	5,376,067	12/27/94	Da	ineshvar					
	5,380,322	1/10/95	vai	n den Brink et al.					
	5,383,844	1/24/95	Mı	unoz et al.				·	
		FOREIC	SN P	ATENT DOCUME	ENTS				
	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB CLASS	TRANS	SLATION NC	
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FORM PTO-	1449			SHEET_17_ OF_19 DOCKET NUMBER APPLICATION NUMBER					
				ACM 347	10/734,01		<u> </u>		
	FORMATION DISC ATION IN AN APP			APPLICANTS Randall J. Huebner and Steven P. Horst					
		 -		FILING DATE		GROUP ART			
				December 10,	2003	SKOOT AKT			
		U.S.	PAT	ENT DOCUMEN	NTS				
EXAMINER INITIAL	DOCUMENT DATE NUMBER			NAME CLASS		SUB CLASS		DATE PPROP.	
·	5,407,421	4/18/95	Go	oldsmith	_				
	5,437,666	8/1/95	Те	pic et al.				·	
	5,443,465	8/22/95	Pe	nnig					
	5,571,103	11/5/96	Ba	iley					
· · · · · · · · · · · · · · · · · · ·	5,601,551	1/22/97	Ta	ylor et al.					
	5,620,442	4/15/97	Ba	Bailey et al.					
	5,643,258	7/1/97 R		Robioneck et al.					
	5,658,283	8/19/97	Huebner Faccioli et al.						
	5,662,648	9/2/97							
	5,662,650 9/2/97			iley et al.					
		FOREIG	GN P.	ATENT DOCUM	IENTS				
	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB CLASS	TRAN YES	SLATION N	
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FORM PTO-1449				DOCKET NUMBER ACM 347	APPLICATION NUMBER 10/734,017				
1	FORMATION DISC ATION IN AN APP		APPLICANTS Randall J. Huebner and Steven P. Horst						
				FILING DATE December 10,	FILING DATE GROUP ART UNIT December 10, 2003				
		U.S.	PAT	ENT DOCUMEN	TS			·	
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS		DATE PPROP.		
	Re. 31,809	1/22/85	Da	nieletto et al.					
	Re. 34,627	5/31/94	Da	vini					
····	Re. 34,985	6/27/95	Pe	nnig					
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	DOCUMENT DATE NUMBER				CLASS	SUB CLASS	TRANS YES	SLATION NO	
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EXAMINER			DATE CONSIDER	RED					